



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No.: 01-053120US
Client Reference No.: 100/10210

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Methods and Devices for Dispersion-Controlled Transport or Processing of Polar or Polarizable Materials** the specification of which is attached hereto or x was filed on November 16, 2001 as Application No. 09/993,384, and was amended on (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/249,737	November 17, 2000

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

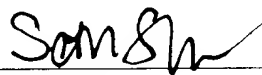

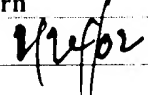
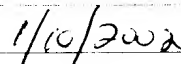
Application No.	Date of Filing	Status

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Full Name of Inventor 1:	Full Name: Seth Stern		
Residence & Citizenship:	City: Mountain View	State/Foreign Country: California	Country of Citizenship: the United States
Post Office Address:	Post Office Address: 1534 Ernestine, Mountain View, CA 94040		
Full Name of Inventor 2:	Full Name: Carlton Brooks		
Residence & Citizenship:	City: Menlo Park	State/Foreign Country: California	Country of Citizenship: the United States
Post Office Address:	Post Office Address: 842 Coleman Avenue, Apt. #10, Menlo Park, CA 94025		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Seth Stern	Signature of Inventor 2  Carlton Brooks
Date 	Date 

declaration.doc



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ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Seth Stern, of 1534 Ernestine, Mountain View, CA 94040, Carlton Brooks of Menlo Park, CA, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS AND DEVICES FOR
DISPERSION-CONTROLLED
TRANSPORT OR PROCESSING OF
POLAR OR POLARIZABLE
MATERIALS

Filing Date: November 16, 2001

Application No.: 09/993,384; and

WHEREAS, Caliper Technologies Corp., a corporation, located at 605 Fairchild Drive

Mountain View, CA 94043 USA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

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Assignment
Application No.: 09/993,384
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: _____

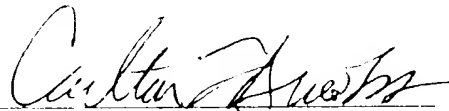
1/18/02



Seth Stern

Dated: _____

1/10/2002



Carlton Brooks



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PTO/SB/96 (2-98)
OMB 0651-0031

Approved for use through 09/30/02000

STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Seth Stern, et al.
Application No.: 09/993,384 Filed: November 16, 2001
Entitled: Methods and Devices for Dispersion-Controlled Transport or Processing of Polar or Polarizable Materials
Caliper Technologies Corp., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. ☒ the assignee of the entire right, title, and interest; or
- 2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

- 1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
- 2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
- 3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

1/24/02
Date

[Signature]
Signature

J WALLACE PARCE
Typed or printed name

VICE PRESIDENT OF RESEARCH
Title